ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT

NOMINATION CONTESTANT FINANCIAL STATEMENT

FINAL

Period from 01 / 10 / 2019 (mm / dd / yyyy) **to** 04 / 07 / 2019 (mm / dd / yyyy)

NAME OF REGISTERED NOMINATION CONTESTANT

Rakhi Pancholi

NAME OF REGISTERED POLITICAL PARTY	NAME OF ELECTORAL DIVISION	ED No.
NDP	Edmonton-Whitemud	46

REVENUE FOR REPORTING PERIOD

RECEIPTED CONTRIBUTIONS	Valued	Money	Totals
1 Contributions of $250.00 or less	$ 195.64	$ -	$ 195.64
2 Contributions of $250.01 and greater	-	-	-
3 TOTAL (lines 1 and 2)	$ 195.64	$ -	$ 195.64

FUND-RAISING & OTHER REVENUE	
4 Fund-raising functions	$ -
5 Transfers received	-
6 Other income	-
7 TOTAL (lines 4, 5 and 6)	$ -
8 **TOTAL REVENUE** (lines 3 and 7)	$ **195.64**

EXPENSES FOR REPORTING PERIOD

	Totals
9 Nomination contestant fee / deposit	$ -
10 Election expenses subject to spending limit	195.64
11 Expenses not subject to spending limit	-
12 Transfers issued	-
13 **TOTAL EXPENSES** (lines 9, 10, 11 and 12)	$ **195.64**

SURPLUS (DEFICIT) FOR REPORTING PERIOD

	Totals
14 **TOTAL REVENUE** (line 8)	$ 195.64
15 **TOTAL EXPENSES** (line 13)	$ 195.64
16 **SURPLUS (DEFICIT)** (line 14 less line 15)	$ **-**

STATEMENT OF ASSETS AND LIABILITIES

ASSETS	Totals	LIABILITIES	Totals
17 Cash on deposit	$ -	23 Funds on loan	$ -
18 Petty cash & other funds	-	24 Accounts payable to suppliers	-
19 Accounts receivable	-	25 Debts incurred but not yet billed	-
20 Bonds, stocks and securities	-	26 Other liabilities	-
21 Other assets at cost	-		
22 TOTAL (lines 17 to 21)	$ -	27 TOTAL (lines 23 to 26)	$ -

28 **NET ASSETS (LIABILITIES)** (line 22 less line 27) **Must equal line 16** $ **-**

ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT

NOMINATION CONTESTANT FINANCIAL STATEMENT

Audit Review Working Paper

Period from 01/10/19 **to** 04/07/19
mm / dd / yyyy

NAME OF REGISTERED NOMINATION CONTESTANT
Rakhi Pancholi

NAME OF REGISTERED POLITICAL PARTY	NAME OF ELECTORAL DIVISION	ED No.
NDP	Edmonton-Whitemud	46

REVENUE FOR REPORTING PERIOD

RECEIPTED CONTRIBUTION	Valued			Money			Totals		
	Orig.	Adjust.	Final	Orig.	Adjust.	Final	Orig.	Adjust.	Final
1 Contributions of $250.00 or less	195.64		**195.64**			-	195.64		**195.64**
2 Contributions of $250.01 and greater			-			-			-
3 TOTAL (lines 1 and 2)	195.64		**195.64**			-	195.64		**195.64**

FUND-RAISING & OTHER REVENUE	Orig.	Adjust.	Final
4 Fund-raising functions			-
5 Transfers received			-
6 Other income			-
7 TOTAL (lines 4, 5 and 6)			-
8 **TOTAL REVENUE** (lines 3 and 7)	195.64		**195.64**

EXPENSES FOR REPORTING PERIOD

	Orig.	Adjust.	Final
9 Nomination contestant fee / deposit			-
10 Election expenses subject to spending limit	195.64		**195.64**
11 Expenses not subject to spending limit			-
12 Transfers issued			-
13 **TOTAL EXPENSES** (lines 9, 10, 11 and 12)	195.64		**195.64**

SURPLUS (DEFICIT) FOR REPORTING PERIOD

	Orig.	Adjust.	Final
14 **TOTAL REVENUE** (line 8)	195.64		**195.64**
15 **TOTAL EXPENSES** (line 13)	195.64		**195.64**
16 **SURPLUS (DEFICIT)** (line 14 less line 15)	-		-

STATEMENT OF ASSETS AND LIABILITIES

ASSETS	Orig.	Adjust.	Final	LIABILITIES	Adjust.	Final
17 Cash on deposit			-	23 Funds on loan		-
18 Petty cash & other funds			-	24 Accounts payable to suppliers		-
19 Accounts receivable			-	25 Debts incurred but not yet billed		-
20 Bonds, stocks and securities			-	26 Other liabilities		-
21 Other assets at cost			-			
22 TOTAL (lines 17 to 21)			-	27 TOTAL (lines 23 to 26)		-
28 **NET ASSETS (LIABILITIES)** (line 22 less line 27) **Must equal line 16**						-

Comments from Elections Alberta:

ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT

NOMINATION CONTESTANT FINANCIAL STATEMENT

FORM NC-FS-01 Page 1 of 2

Period from 01 / 10 / 2019 (mm / dd / yyyy) to 02 / 07 / 2019 (mm / dd / yyyy) Note 1

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NAME OF REGISTERED NOMINATION CONTESTANT
Rakhi Pancholi

NAME OF REGISTERED POLITICAL PARTY	NAME OF ELECTORAL DIVISION	ED No.
Alberta New Democratic Party	**EDMONTON-WHITEMUD**	**46**

REVENUE FOR REPORTING PERIOD

RECEIPTED CONTRIBUTIONS	Valued	Money	Totals
(1) Contributions of $250.00 or less	$ 195.64	$	$ 195.64
(2) Contributions of $250.01 and greater			0.00
(3) TOTAL (lines 1 and 2)	$ 195.64	$ 0.00	$ 195.64

FUND-RAISING & OTHER REVENUE	
(4) Fund-raising functions (attach Form NC-FS-S01)	$
(5) Transfers received (attach Form NC-FS-S02)	
(6) Other income (attach Form NC-FS-S03)	
(7) TOTAL (lines 4, 5 and 6)	$ 0.00
(8) **TOTAL REVENUE** (lines 3 and 7)	$ **195.64**

EXPENSES FOR REPORTING PERIOD

	Totals
(9) Nomination contestant fee / deposit (attach Form NC-FS-S04)	$
(10) Election expenses subject to spending limit (attach Form NC-FS-S05)	195.64
(11) Expenses not subject to spending limit (attach Form NC-FS-S06)	
(12) Transfers issued (attach Form NC-FS-S02)	
(13) **TOTAL EXPENSES** (lines 9, 10, 11 and 12)	$ **195.64**

SURPLUS (DEFICIT) FOR REPORTING PERIOD

	Totals
(14) **TOTAL REVENUE** (line 8)	$ 195.64
(15) **TOTAL EXPENSES** (line 13)	$ 195.64
(16) **SURPLUS (DEFICIT)** (line 14 less line 15)	$ 0.00

STATEMENT OF ASSETS AND LIABILITIES

ASSETS	Totals	LIABILITIES	Totals
(17) Cash on deposit	$	(23) Funds on loan (attach Form NC-FS-S09)	$
(18) Petty cash & other funds		(24) Accounts payable to suppliers (attach Form NC-FS-S10)	
(19) Accounts receivable (attach Form NC-FS-S07)		(25) Debts incurred but not yet billed (attach Form NC-FS-S11)	
(20) Bonds, stocks and securities		(26) Other liabilities (attach Form NC-FS-S12)	
(21) Other assets at cost (attach Form NC-FS-S08)			
(22) TOTAL (lines 17 to 21)	$ 0.00	(27) TOTAL (lines 23 to 26)	$ 0.00

(28) **NET ASSETS (LIABILITIES)** (line 22 less line 27) **Must equal line 16** $ **0.00**

CONTINUED ON PAGE 2...

ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT

NOMINATION CONTESTANT FINANCIAL STATEMENT

FORM NC-FS-01 Page 2 of 2

Period from 01 / 10 / 2019 (mm / dd / yyyy) to 02 / 07 / 2019 (mm / dd / yyyy)

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NAME OF REGISTERED NOMINATION CONTESTANT

Rakhi Pancholi

NAME OF REGISTERED POLITICAL PARTY	NAME OF ELECTORAL DIVISION	ED No.
Alberta New Democratic Party	EDMONTON-WHITEMUD	46

ATTESTATION OF CHIEF FINANCIAL OFFICER

CONFIRMATION OF DOCUMENTS ATTACHED TO THIS FINANCIAL STATEMENT

The following documents are attached as applicable:

- ☐ Copies of all bank account statements for the reporting period

SCHEDULES

- ☐ Fund-raising Functions (NC-FS-S01)
- ☐ Transfer Detail (NC-FS-S02)
- ☐ Other Income (NC-FS-S03)
- ☐ Fees and Deposits (NC-FS-S04)
- ☐ Election Expenses Subject to Spending Limit (NC-FS-S05)
- ☐ Expenses Not Subject to Spending Limit (NC-FS-S06)
- ☐ Accounts Receivable (NC-FS-S07)
- ☐ Other Assets (NC-FS-S08)
- ☐ Funds on Loan (NC-FS-S09)
- ☐ Accounts Payable (NC-FS-S10)
- ☐ Debts Incurred But Not Yet Billed (NC-FS-S11)
- ☐ Other Liabilities (NC-FS-S12)

CONFIRMATION OF CONTRIBUTION REPORTING AND ISSUANCE OF OFFICIAL CONTRIBUTION RECEIPTS

The following tasks are completed as applicable:

- ☐ Using the Online Financial System (OFS), I have recorded and confirmed all contributions accepted by this nomination contestant's campaign.
- ☐ Using OFS, I have issued official contribution receipts to all contributors.
- ☑ I have recorded on lines 1 and 2 of this financial statement, amounts that agree with the receipted contributions recorded and confirmed in OFS.
- ☐ No contributions were accepted by this nomination contestant's campaign; I have recorded nil on lines 1 and 2 of this financial statement.

As a Chief Financial Officer registered under the *Election Finances and Contributions Disclosure Act*:
- I certify that this financial statement and attachments accurately and completely reflect all financial transactions of the nomination contestant's campaign;
- I understand that Elections Alberta will maintain and make public, a list of contributors who contributed over $250 in aggregate, based on the contribution information in OFS; and
- I understand that failure to record all contributions and issue official contribution receipts by the filing deadline will result in an incomplete/late financial filing subject to an automatic late filing fee and possible further penalties.

Print Name	Signature	mm / dd / yyyy
Owen Young	Original signed by position holder	03 / 10 / 2019

ATTESTATION OF NOMINATION CONTESTANT

As a Nomination Contestant registered under the *Election Finances and Contributions Disclosure Act*:
- I certify that this financial statement and attachments accurately and completely reflect all financial transactions of my nomination campaign;
- I understand that Elections Alberta will maintain and make public, a list of contributors who contributed over $250 in aggregate, based on the contribution information in OFS; and
- I understand that failure to record all contributions and issue official contribution receipts by the filing deadline will result in an incomplete/late financial filing subject to an automatic late filing fee and possible further penalties.

Print Name	Signature	mm / dd / yyyy
Rakhi Pancholi	Original signed by position holder	03 / 10 / 2019

NOTES

1. The campaign period starts on the nomination contestant's effective registration date, and ends 2 months after the date of selection / conclusion of the contest. If the contestant withdrew or was not accepted by the party / constituency association, the contestant's campaign period ends earlier; that is, on the date of withdrawal or the date the contestant was not accepted.
2. This financial statement and attachments must be received at Elections Alberta by 4:30 pm 4 months after the date of selection / conclusion of the contest.

FORWARD SIGNED ORIGINAL TO ELECTIONS ALBERTA
Suite 100, 11510 Kingsway NW, Edmonton, AB T5G 2Y5
Phone: 780.427.7191 Toll-free: 310.0000 then 780.427.7191 Email: finance@elections.ab.ca

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ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT

ELECTION EXPENSES SUBJECT TO SPENDING LIMIT SUMMARY REPORT

FORM NC-FS-S05

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Period from 01 / 10 / 2019 (mm / dd / yyyy) to 02 / 07 / 2019 (mm / dd / yyyy)

NAME OF REGISTERED NOMINATION CONTESTANT	PARTY ABBREV.	NAME OF ELECTORAL DIVISION	ED No.
Rakhi Pancholi	NDP	EDMONTON-WHITEMUD	46

	Expense Type	Sub-Total	Schedule Attached?
1	Advertising, posters, pamphlets, and promotional	$ 195.64	NC-FS-S05-SS01 ☒
2	Furniture and equipment rental	$	NC-FS-S05-SS02 ☐
3	Honoraria and salaries	$	NC-FS-S05-SS03 ☐
4	Office occupancy (rent, utilities), meeting space, telephone and office supplies	$	NC-FS-S05-SS04 ☐
5	Polling (opinion surveys, market research)	$	NC-FS-S05-SS05 ☐
6	Signs / structural support	$	NC-FS-S05-SS06 ☐
7	Other expenses	$	NC-FS-S05-SS07 ☐
	(must agree with financial statement line 10) TOTAL	**$ 195.64**	

NOTES

1. The above election expenses incurred by the nomination contestant are subject to spending limits prescribed by sections 41.1 and 41.4 of the EFCDA (see below for details).
2. This schedule is an official component of the Nomination Contestant Campaign Return. All attestations as to the accuracy of the Nomination Contestant Campaign Return apply equally to this attachment.

EFCDA PART 5.1 MAXIMUM EXPENSE LIMITS (EXCERPT)

Election expenses

41.1(1) In this Part, "election expense", subject to this Part, means any expense incurred, or non-monetary contribution received,

(a) by a registered party, registered constituency association or registered candidate, to the extent that the real property, goods or services that the expense was incurred for, or that were received as a non-monetary contribution, are used to directly promote or oppose a registered party, its leader or a candidate during an election period,

(b) by a nomination contestant, to the extent that the real property, goods or services that the expense was incurred for, or that were received as a non-monetary contribution, are used to directly promote or oppose a nomination contestant during a nomination contest.

(2) For the purposes of this section, the use of goods in a 2nd or subsequent election is a non-monetary contribution.

(3) An election expense referred to in subsection (1) includes an expense incurred for, or a non-monetary contribution in relation to,

(a) the production of advertising or promotional material,

(b) the distribution, broadcast or publication of advertising or promotional material in any media or by any other means during the election period, including by the use of a capital asset,

(c) the payment of remuneration and expenses to or on behalf of a person for the person's services as a chief financial officer or in any other capacity,

(d) securing a meeting space, or

(e) the conduct of election surveys or other surveys or research during an election period

(4) In this section, "expense incurred" means an expense that is incurred, whether it is paid or unpaid.

Election expense limits — nomination contestants

41.4(1) No registered nomination contestant and no chief financial officer of a registered nomination contestant shall incur election expenses in respect of the nomination contest that exceed in the aggregate 20% of a registered candidate's spending limit for an election in that electoral division.

(2) The following expenses are not election expenses for the purposes of subsection (1).

(a) travel expenses reasonably related to the nomination contestant, including meals and accommodation;

(b) a registered nomination contestant's child care expenses,

(c) expenses relating to the provision of care for a person with a physical or mental incapacity for whom the registered nomination contestant normally provides such care;

(d) in the case of a registered nomination contestant who has a disability, additional expenses that are related to the disability,

(e) audit and professional fees necessary for compliance with this Act by the registered nomination contestant;

(f) reasonable incidental expenses incurred by or on behalf of volunteers