



ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT

POLITICAL PARTY
CAMPAIGN PERIOD FINANCIAL STATEMENT

FINAL

Period from 06 / 14 / 2018 to 09 / 12 / 2018
mm / dd / yyyy mm / dd / yyyy

NAME OF REGISTERED POLITICAL PARTY

Alberta New Democratic Party

SUMMARY OF CAMPAIGN PERIOD REVENUE

RECEIPTED CONTRIBUTIONS	<u>Valued</u>	<u>Money</u>	<u>Totals</u>
① Contributions of \$250.00 or less	\$ 90.60	\$ 9,443.50	\$ 9,534.10
② Contributions of \$250.01 and greater	570.00	66,517.50	67,087.50
③ TOTAL (lines 1 and 2)	\$ 660.60	\$ 75,965.00	\$ 76,621.60
OTHER REVENUE			
④ Fund-raising functions			\$ -
⑤ Transfers received			-
⑥ Other income			-
⑦ TOTAL (lines 4 through 6)			\$ -
PRE-PAID ELECTION EXPENSES / MATERIALS			
⑧ Paid by constituency association(s) on behalf of party			\$ -
⑨ TOTAL CAMPAIGN PERIOD REVENUE (lines 3, 7 and 8)			\$ 76,621.60

SUMMARY OF CAMPAIGN PERIOD EXPENSES

	<u>Totals</u>
ELECTION EXPENSES (SUBJECT TO SPENDING LIMIT)	
⑩ Election expenses incurred by party	\$ 17,905.83
⑪ Election expenses pre-paid by party	-
⑫ Election expenses paid by constituency association(s) on behalf of party	-
⑬ TOTAL (lines 10, 11 and 12)	\$ 17,905.83
⑭ Election expenses incurred by party <u>for specific candidate(s)</u>	\$ 61,292.74
EXPENSES (NOT SUBJECT TO SPENDING LIMIT)	
⑮ Other expenses	\$ 307.44
⑯ Transfers issued	-
⑰ TOTAL (lines 15 and 16)	\$ 307.44
⑱ TOTAL CAMPAIGN PERIOD EXPENSES (lines 13, 14 and 17)	\$ 79,506.01

CAMPAIGN PERIOD SURPLUS (DEFICIT)

	<u>Totals</u>
⑲ TOTAL CAMPAIGN PERIOD REVENUE (line 9)	\$ 76,621.60
⑳ TOTAL CAMPAIGN PERIOD EXPENSES (line 18)	\$ 79,506.01
㉑ CAMPAIGN PERIOD SURPLUS (DEFICIT) (line 19 less line 20)	\$ (2,884.41)



ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT
POLITICAL PARTY CAMPAIGN PERIOD FINANCIAL STATEMENT
WORKING PAPER

Period From 06 / 14 / 2018 to 09 / 12 / 2018
mm / dd / yyyy mm / dd / yyyy

NAME OF REGISTERED POLITICAL PARTY

Alberta New Democratic Party

SUMMARY OF CAMPAIGN PERIOD REVENUE

	<u>Valued</u>			<u>Money</u>			<u>Totals</u>		
	<u>Orig.</u>	<u>Adjust</u>	<u>Final</u>	<u>Orig.</u>	<u>Adjust</u>	<u>Final</u>	<u>Orig.</u>	<u>Adjust</u>	<u>Final</u>
RECEIPTED CONTRIBUTIONS									
① Contributions of \$250.00 or less		+90.60	90.60	9,710.10	-266.60	9,443.50	9,714.10	-180.00	9,534.10
② Contributions of \$250.01 and greater		+570.00	570.00	66,907.50	-390.00	66,517.50	66,907.50	+180.00	67,087.50
③ TOTAL (lines 4 and 5)		+660.60	660.60	76,621.60	-656.60	75,965.00	76,621.60	0.00	76,621.60
OTHER REVENUE									
④ Fund-raising functions									-
⑤ Transfers received									-
⑥ Other income									-
⑦ TOTAL (lines 7, 8 and 9)								0.00	-
PRE-PAID ELECTION EXPENSES / MATERIALS									
⑧ Paid by constituency association(s) on behalf of candidate									-
⑨ TOTAL CAMPAIGN PERIOD REVENUE (lines 3, 7, and 9)							76,621.60	0.00	76,621.60

SUMMARY OF CAMPAIGN PERIOD EXPENSES

ELECTION EXPENSES (SUBJECT TO SPENDING LIMIT)									
⑩ Election expenses incurred by party							17,905.83		17,905.83
⑪ Election expenses pre-paid by party									-
⑫ Election expenses paid by constituency association(s) on behalf of party									-
⑬ TOTAL (lines 10, 11 and 12)							17,905.83	0.00	17,905.83
⑭ Election expenses incurred by a party for specific candidate(s)							61,292.74		61,292.74
EXPENSES (NOT SUBJECT TO SPENDING LIMIT)									
⑮ Other expenses							307.44		307.44
⑯ Transfers issued									-
⑰ TOTAL							307.44	0.00	307.44
⑱ TOTAL CAMPAIGN PERIOD EXPENSES (lines 18 and 22)							79,506.01	0.00	79,506.01

CAMPAIGN PERIOD SURPLUS (DEFICIT)

	<u>Orig.</u>	<u>Adjust.</u>	<u>Final</u>
⑲ TOTAL CAMPAIGN PERIOD REVENUE (line 14)	76,621.60		76,621.60
⑳ TOTAL CAMPAIGN PERIOD EXPENSES (line 23)	79,506.01		79,506.01
㉑ CAMPAIGN PERIOD SURPLUS (DEFICIT) (line 24 less line 25)	(2,884.41)		(2,884.41)

Comments from Elections Alberta:

Immaterial adjustment in contribution revenue



ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT

POLITICAL PARTY

CAMPAIGN PERIOD FINANCIAL STATEMENT

FORM PA-CFS-01 Page 1 of 2

Period from 06/14/2018 to 09/12/2018 SEE NOTE AT BOTTOM

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NAME OF REGISTERED POLITICAL PARTY

Alberta's New Democrats

SUMMARY OF CAMPAIGN PERIOD REVENUE

RECEIPTED CONTRIBUTIONS

	Valued	Money	Totals
1 Contributions of \$250.00 or less	\$ 0	\$ 9,714.10	\$ 9,714.10
2 Contributions of \$250.01 and greater	0	66,907.50	66,907.50
3 TOTAL (lines 1 and 2)	\$ 0	\$ 76,621.60	\$ 76,621.60

OTHER REVENUE

4 Fund-raising functions (attach Form PA-CFS-S01)			\$ 0
5 Transfers received (attach Form PA-CFS-S02)			
6 Other income (attach Form PA-CFS-S03)			\$ 0
7 TOTAL (lines 4 through 6)			\$ 0

PRE-PAID ELECTION EXPENSES / MATERIALS

8 Paid by constituency association(s) on behalf of party Note 1			\$ 0
9 TOTAL CAMPAIGN PERIOD REVENUE (lines 3, 7 and 8)			\$ 76,621.60

SUMMARY OF CAMPAIGN PERIOD EXPENSES

ELECTION EXPENSES (SUBJECT TO SPENDING LIMIT)

	Totals
10 Election expenses incurred by party (attach Form PA-CFS-S05)	\$ 17,905.83
11 Election expenses pre-paid by party Note 2	0
12 Election expenses paid by constituency association(s) on behalf of party Must equal line 8 above	\$ 17,905.83
13 TOTAL (lines 10, 11 and 12) Note 3	\$ 35,811.66
14 Election expenses incurred by party for specific candidate(s) (attach Form PA-CFS-S05.1) Note 4	\$ 61,292.74

EXPENSES (NOT SUBJECT TO SPENDING LIMIT)

15 Other expenses (attach Form PA-CFS-S06)	\$ 307.44
16 Transfers issued (attach Form PA-CFS-S02)	0
17 TOTAL (lines 15 and 16)	\$ 307.44
18 TOTAL CAMPAIGN PERIOD EXPENSES (lines 13, 14 and 17)	\$ 79,506.01

CAMPAIGN PERIOD SURPLUS (DEFICIT)

	Totals
19 TOTAL CAMPAIGN PERIOD REVENUE (line 9)	\$ 76,621.60
20 TOTAL CAMPAIGN PERIOD EXPENSES (line 18)	\$ 79,506.01
21 CAMPAIGN PERIOD SURPLUS (DEFICIT) (line 19 less line 20)	\$ (2,884.41)



ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT
POLITICAL PARTY

CAMPAIGN PERIOD FINANCIAL STATEMENT

FORM PA-CFS-01 Page 2 of 2

Period from 06/12/2018 to 09/12/2018
mm / dd / yyyy mm / dd / yyyy

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NAME OF REGISTERED POLITICAL PARTY

Alberta's New Democrats

DOCUMENTS TO BE ATTACHED TO THIS FINANCIAL STATEMENT

Attached?

- 1. Elections Alberta copies of all official contribution receipts issued to contributors during reporting period
- 2. Reconciliation of official contribution receipts to account for all receipts ordered, used, voided, spoiled and returned
- 3. List of contributor name(s), address(es) and amount(s), for contributions over \$250 in aggregate (for public files)
- 4. List of contributor name(s) and amount(s) only, for contributions over \$250 in aggregate (for web-posting)
- 5. Excel file of all contribution data
- 6. Audited financial statement and auditor's report if revenue or expenses exceed \$1,000
- 7. Forms:
 - Fund-raising Functions (PA-CFS-S01)
 - Transfer Details (PA-CFS-S02)
 - Other Income (PA-CFS-S03)
 - Election Expenses Subject to Spending Limit (PA-CFS-S05)
 - Election Expenses Incurred for Specific Candidate(s) (PA-CFS-S05.1)
 - Expenses Not Subject to Spending Limit (PA-CFS-S06)
- 8. Copies of election expense reports from constituency association annual financial statement(s) (CA-AFS-S05)

NOTES FOR COMPLETION OF THIS FORM

- 1. LINES 8 & 12: Election expenses subject to the party's spending limit that were paid by constituency association(s) on behalf of the party must be carried forward to this financial statement to ensure they are counted toward the party's spending limit. Enter the total value of the pre-paid election expenses as revenue on Line 8 "Paid by constituency association(s)..." and enter the same amount as an expense on Line 12 "Election expenses pre-paid by constituency association(s)..." Attach copies of the applicable constituency association(s) election expense report form CA-AFS-S05, for support documentation.
- 2. LINE 11: Election expenses subject to the party's spending limit that were pre-paid by the party in the annual period and held as assets, must be expensed on this financial statement to ensure they are counted toward the party's spending limit.
- 3. LINE 13: The election expense spending limit for a political party in respect of a general election is \$2,000,000 (EFCDA section 41.2(1)), and in respect of a by-election in an electoral division is \$23,000 (EFCDA section 41.2(2)). Limits are adjusted in accordance with EFCDA section 41.5.
- 4. LINE 14: Election expenses subject to the candidate's spending limit that are incurred by the party for specific candidate(s), must be reported by the party on Line 14 "Election expenses incurred by party for specific candidate(s)". The total must be carried forward to the specific candidate's campaign return to count toward the candidate's spending limit.

ATTESTATION OF CHIEF FINANCIAL OFFICER AND PRINCIPAL OFFICER (PRESIDENT)

This is to certify that, to the best of my knowledge, this financial statement accurately and completely reflects all financial transactions relating to the campaign of the registered political party.

Chief Financial Officer:

Lance Reynolds
Printed Name

Original signed by position holder

01/10/2019
mm / dd / yyyy

Principal Officer (President):

Peggy Wright
Printed Name

Original signed by position holder

01/14/2019
mm / dd / yyyy

FORWARD SIGNED ORIGINAL TO ELECTIONS ALBERTA

OFFICE USE ONLY

Suite 100, 11510 Kingsway NW, Edmonton, AB T5G 2Y5 780.427.7191

ENTERED:

NOTE: TO BE RECEIVED AT ELECTIONS ALBERTA BY 4:30 PM 6 MONTHS AFTER ELECTION DAY



**ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT
PARTY ELECTION EXPENSES SUBJECT TO SPENDING LIMIT
SUMMARY REPORT**

FORM PA-CFS-S05

Period from 06/12/2018 to 09/12/2018
mm / dd / yyyy mm / dd / yyyy

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Chief electoral officer

NAME OF REGISTERED POLITICAL PARTY
Alberta's New Democrats

Expense Type	Sub-Total	Schedule Attached?
1 Advertising, posters, pamphlets, and promotional	\$ 14,542.04	PA-CFS-S05-SS01 <input checked="" type="checkbox"/>
2 Furniture and equipment rental	\$	PA-CFS-S05-SS02 <input type="checkbox"/>
3 Honoraria and salaries	\$ 637.60	PA-CFS-S05-SS03 <input checked="" type="checkbox"/>
4 Office occupancy (rent, utilities), meeting space, telephone and office supplies	\$	PA-CFS-S05-SS04 <input type="checkbox"/>
5 Polling (opinion surveys, market research)	\$ 2570.06	PA-CFS-S05-SS05 <input checked="" type="checkbox"/>
6 Signs / structural support	\$	PA-CFS-S05-SS06 <input type="checkbox"/>
7 Other expenses	\$ 156.13	PA-CFS-S05-SS07 <input checked="" type="checkbox"/>
(must agree with financial statement line 10) TOTAL	\$ 17,905.83	

NOTES

- The above election expenses incurred by the party during the campaign period are subject to spending limits prescribed by sections 41.1 and 41.2 of the EFCDA (see below for details).
- This schedule is an official component of the Political Party Campaign Return. All attestations as to the accuracy of the Political Party Campaign Return apply equally to this document.

EFCDA PART 5.1 MAXIMUM EXPENSE LIMITS (EXCERPT)

Election expenses
41 (1) In this Part, "election expense" subject to this Part, means any expense incurred, or non-monetary contribution received,
 (a) by a registered party, registered constituency association or registered candidate, to the extent that the real property, goods or services that the expense was incurred for, or that were received as a non-monetary contribution, are used to directly promote or oppose a registered party, its leader or a candidate during an election period;
 (b) by a nomination contestant, to the extent that the real property, goods or services that the expense was incurred for, or that were received as a non-monetary contribution, are used to directly promote or oppose a nomination contestant during a nomination contest.

(2) For the purposes of this section, the use of goods in a 2nd or subsequent election is a non-monetary contribution.

(3) An election expense referred to in subsection (1) includes an expense incurred for, or a non-monetary contribution in relation to,
 (a) the production of advertising or promotional material,
 (b) the distribution, broadcast or publication of advertising or promotional material in any media or by any other means during the election period, including by the use of a capital asset,
 (c) the payment of remuneration and expenses to or on behalf of a person for the person's services as a chief financial officer or in any other capacity, including securing a meeting space, or
 (d) the conduct of election surveys or other surveys or research during an election period.

(4) In this section, "expense incurred" means an expense that is incurred, whether it is paid or unpaid.

Election expense limits — registered parties
41 (2)(1) No registered party and no chief financial officer of a registered party shall incur election expenses in respect of a general election that exceed in the aggregate \$2 000 000 as adjusted under section 41.5.
 (2) No registered party and no chief financial officer of a registered party shall incur election expenses in respect of a by-election for an electoral division that exceed in the aggregate \$23 000 as adjusted in accordance with section 41.5.
 (3) The following expenses are not election expenses for the purposes of subsections (1) and (2):
 (a) audit and professional fees necessary for compliance with this Act by the registered party;
 (b) expenses incurred to hold a conference or convention of a registered party;
 (c) expenses incurred by a registered party to operate a permanent office, including the salaries and wages paid to permanent staff members working in the office during the election period;
 (d) reasonable incidental expenses incurred by or on behalf of volunteers.

ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT Revised Statutes of Alberta 2000 Chapter E-2 Current as of January 1, 2017

**INDEPENDENT AUDITORS' REPORT
RECEIVED****JAN 14 2019**

To: The Members of the Executive of the
Alberta New Democratic Party

chief electoral office

We have audited the accompanying Political Party Campaign Period Financial Statement for the period of June 14, 2018 to September 12, 2018 in accordance with the requirements of The Alberta Election Finances and Contributions Disclosure Act. This financial statement has been prepared by management.

Management's Responsibility for the Financial Statement

Management is responsible for the preparation and fair presentation of this financial statement in accordance with The Alberta Election Finances and Contributions Disclosure Act, and for such internal control as management determines is necessary to enable the preparation of the financial statement that is free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on the financial statement based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether the financial statement is free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statement. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statement in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates, if any, made by management, as well as evaluating the overall presentation of the financial statement.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our qualified audit opinion.

Basis for Qualified Opinion

The Party derives most of its income in the form of donations, which are not susceptible to complete audit examination. Accordingly, our work in respect of this income was limited to accounting for the amounts recorded in the records of the Party.

Opinion

In our opinion, except for the effect of the adjustments, if any, which might have been necessary had we been able to satisfy ourselves with respect to donations received as described in the preceding paragraph, this financial statement presents fairly, in all material respects, the expenditures and revenue of the Party related to the Political Party Campaign for the period June 12, 2018 to September 12, 2018 in accordance with The Alberta Election Finances and Contributions Disclosure Act.

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chief electoral office

Basis of Accounting

Without modifying our opinion, we draw attention to the fact that this financial statement was prepared in accordance with the requirement of The Alberta Election Finances and Contributions Disclosure Act. As a result, the statement may not be suitable for another purpose.

Mowbray Gil LLP

Edmonton, Alberta
January 10, 2019

CHARTERED PROFESSIONAL ACCOUNTANTS

