



ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT  
NOMINATION CONTESTANT FINANCIAL STATEMENT

**FINAL**

Period from 08 / 07 / 2018 to 02 / 16 / 2019  
mm / dd / yyyy mm / dd / yyyy

NAME OF REGISTERED NOMINATION CONTESTANT

**ROOP KAUR RAI**

NAME OF REGISTERED POLITICAL PARTY

**ALBERTA NEW DEMOCRATIC PARTY**

NAME OF ELECTORAL DIVISION

**CALGARY-NORTH EAST**

ED No.

**20**

**REVENUE FOR REPORTING PERIOD**

RECEIPTED CONTRIBUTIONS

	<u>Valued</u>	<u>Money</u>	<u>Totals</u>
1 Contributions of \$250.00 or less	\$ -	\$ -	\$ -
2 Contributions of \$250.01 and greater	-	-	-
3 TOTAL (lines 1 and 2)	\$ -	\$ -	\$ -

FUND-RAISING & OTHER REVENUE

4 Fund-raising functions		\$ 895.00
5 Transfers received		-
6 Other income		57.87
7 TOTAL (lines 4, 5 and 6)		\$ 952.87
8 TOTAL REVENUE (lines 3 and 7)		\$ 952.87

**EXPENSES FOR REPORTING PERIOD**

	<u>Totals</u>
9 Nomination contestant fee / deposit	\$ -
10 Election expenses subject to spending limit	952.87
11 Expenses not subject to spending limit	-
12 Transfers issued	-
13 TOTAL EXPENSES (lines 9, 10, 11 and 12)	\$ 952.87

**SURPLUS (DEFICIT) FOR REPORTING PERIOD**

	<u>Totals</u>
14 TOTAL REVENUE (line 8)	\$ 952.87
15 TOTAL EXPENSES (line 13)	\$ 952.87
16 SURPLUS (DEFICIT) (line 14 less line 15)	\$ -

**STATEMENT OF ASSETS AND LIABILITIES**

ASSETS

	<u>Totals</u>
17 Cash on deposit	\$ -
18 Petty cash & other funds	-
19 Accounts receivable	-
20 Bonds, stocks and securities	-
21 Other assets at cost	-
22 TOTAL (lines 17 to 21)	\$ -

LIABILITIES

	<u>Totals</u>
23 Funds on loan	\$ -
24 Accounts payable to suppliers	-
25 Debts incurred but not yet billed	-
26 Other liabilities	-
27 TOTAL (lines 23 to 26)	\$ -

28 NET ASSETS (LIABILITIES) (line 22 less line 27) Must equal line 16	\$ -
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ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT  
NOMINATION CONTESTANT FINANCIAL STATEMENT

**Audit Review Working Paper**

Period from 08 / 07 / 2018 to 02 / 16 / 2019  
mm / dd / yyyy mm / dd / yyyy

NAME OF REGISTERED NOMINATION CONTESTANT

**ROOP KAUR RAI**

NAME OF REGISTERED POLITICAL PARTY

**ALBERTA NEW DEMOCRATIC PARTY**

NAME OF ELECTORAL DIVISION

**CALGARY-NORTH EAST**

ED No.

**20**

**REVENUE FOR REPORTING PERIOD**

RECEIPTED CONTRIBUTION	Valued			Money			Totals		
	Orig.	Adjust.	Final	Orig.	Adjust.	Final	Orig.	Adjust.	Final
1 Contributions of \$250.00 or less			-			-			-
2 Contributions of \$250.01 and greater			-			-			-
3 TOTAL (lines 1 and 2)			-		0.00	-		0.00	-
FUND-RAISING & OTHER REVENUE							Orig.	Adjust.	Final
4 Fund-raising functions							895.00		895.00
5 Transfers received							45.00	-45.00	-
6 Other income								+57.87	57.87
7 TOTAL (lines 4, 5 and 6)							940.00	+12.87	952.87
8 TOTAL REVENUE (lines 3 and 7)							940.00	+12.87	952.87

**EXPENSES FOR REPORTING PERIOD**

	Orig.	Adjust.	Final
9 Nomination contestant fee / deposit			-
10 Election expenses subject to spending limit	60.37	+892.50	952.87
11 Expenses not subject to spending limit			-
12 Transfers issued	892.50	-892.50	-
13 TOTAL EXPENSES (lines 9, 10, 11 and 12)	952.87	0.00	952.87

**SURPLUS (DEFICIT) FOR REPORTING PERIOD**

	Orig.	Adjust.	Final
14 TOTAL REVENUE (line 8)	940.00	+12.87	952.87
15 TOTAL EXPENSES (line 13)	952.87		952.87
16 SURPLUS (DEFICIT) (line 14 less line 15)	(12.87)	+12.87	0.00

**STATEMENT OF ASSETS AND LIABILITIES**

ASSETS				LIABILITIES			
	<u>Orig.</u>	<u>Adjust.</u>	<u>Final</u>		<u>Orig.</u>	<u>Adjust.</u>	<u>Final</u>
17 Cash on deposit	(12.87)	+12.87	-	23 Funds on loan			-
18 Petty cash & other funds			-	24 Accounts payable to suppliers			-
19 Accounts receivable			-	25 Debts incurred but not yet billed			-
20 Bonds, stocks and securities			-	26 Other liabilities			-
21 Other assets at cost			-				
22 TOTAL (lines 17 to 21)	(12.87)	+12.87	0.00	27 TOTAL (lines 23 to 26)			0.00
28 NET ASSETS (LIABILITIES) (line 22 less line 27) Must equal line 16					(12.87)	+12.87	0.00

Comments from Elections Alberta:

\$12.87 removed from line 17 as bank waived the bank charges and added to line 6

\$45 removed from line 5 and added to line 6



ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT  
NOMINATION CONTESTANT FINANCIAL STATEMENT

FORM NC-FS-01 Page 1 of 2

Period from 08072018 to 02162019 Note 1  
mm / dd / yyyy mm / dd / yyyy

OFFICE USE ONLY  
**RECEIVED**  
APR 04 2019  
chief electoral officer

NAME OF REGISTERED NOMINATION CONTESTANT  
**ROOP KAUR RAI**

NAME OF REGISTERED POLITICAL PARTY  
**Alberta New Democratic Party**

NAME OF ELECTORAL DIVISION  
**CALGARY-NORTH EAST**

ED No.  
**20**

REVENUE FOR REPORTING PERIOD

RECEIPTED CONTRIBUTIONS

	Valued	Money	Totals
① Contributions of \$250.00 or less	\$	\$	\$ 0.00
② Contributions of \$250.01 and greater			0.00
③ TOTAL (lines 1 and 2)	\$ 0.00	\$ 0.00	\$ 0.00

FUND-RAISING & OTHER REVENUE

④ Fund-raising functions (attach Form NC-FS-S01)	\$ 895.00
⑤ Transfers received (attach Form NC-FS-S02)	45.00
⑥ Other income (attach Form NC-FS-S03)	
⑦ TOTAL (lines 4, 5 and 6)	\$ 0.00
⑧ TOTAL REVENUE (lines 3 and 7)	\$ <b>940.00</b>

EXPENSES FOR REPORTING PERIOD

	Totals
⑨ Nomination contestant fee / deposit (attach Form NC-FS-S04)	\$
⑩ Election expenses subject to spending limit (attach Form NC-FS-S05)	60.37
⑪ Expenses not subject to spending limit (attach Form NC-FS-S06)	
⑫ Transfers issued (attach Form NC-FS-S02)	892.50
⑬ TOTAL EXPENSES (lines 9, 10, 11 and 12)	\$ <b>952.87</b>

SURPLUS (DEFICIT) FOR REPORTING PERIOD

	Totals
⑭ TOTAL REVENUE (line 8)	\$ <b>940.00</b>
⑮ TOTAL EXPENSES (line 13)	\$ <b>952.87</b>
⑯ SURPLUS (DEFICIT) (line 14 less line 15)	\$ <b>(12.87)</b>

STATEMENT OF ASSETS AND LIABILITIES

ASSETS

	Totals
⑰ Cash on deposit	\$ <b>(12.87)</b>
⑱ Petty cash & other funds	
⑲ Accounts receivable (attach Form NC-FS-S07)	
⑳ Bonds, stocks and securities	
㉑ Other assets at cost (attach Form NC-FS-S08)	
㉒ TOTAL (lines 17 to 21)	\$ <b>(12.87)</b>
㉓ NET ASSETS (LIABILITIES) (line 22 less line 27) Must equal line 16	

LIABILITIES

	Totals
㉔ Funds on loan (attach Form NC-FS-S09)	\$
㉕ Accounts payable to suppliers (attach Form NC-FS-S10)	
㉖ Debts incurred but not yet billed (attach Form NC-FS-S11)	
㉗ Other liabilities (attach Form NC-FS-S12)	
㉘ TOTAL (lines 23 to 26)	\$ 0.00
	\$ <b>(12.87)</b>

CONTINUED ON PAGE 2...



**ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT  
NOMINATION CONTESTANT FINANCIAL STATEMENT**

OFFICE USE ONLY

FORM NC-FS-01 Page 2 of 2

Period from 08072018 to 02162019  
mm / dd / yyyy mm / dd / yyyy

NAME OF REGISTERED NOMINATION CONTESTANT  
**ROOP KAUR RAI**

NAME OF REGISTERED POLITICAL PARTY

<Select POLITICAL PARTY from list>

NAME OF ELECTORAL DIVISION

<Select ELECTORAL DIVISION from list>

ED No.

**ATTESTATION OF CHIEF FINANCIAL OFFICER**

**CONFIRMATION OF DOCUMENTS ATTACHED TO THIS FINANCIAL STATEMENT**

The following documents are attached as applicable:

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Copies of all bank account statements for the reporting period | <input type="checkbox"/> Accounts Receivable (NC-FS-S07)               |
| <input checked="" type="checkbox"/> Fund-raising Functions (NC-FS-S01)                             | <input type="checkbox"/> Other Assets (NC-FS-S08)                      |
| <input checked="" type="checkbox"/> Transfer Detail (NC-FS-S02)                                    | <input type="checkbox"/> Funds on Loan (NC-FS-S09)                     |
| <input checked="" type="checkbox"/> Other Income (NC-FS-S03)                                       | <input type="checkbox"/> Accounts Payable (NC-FS-S10)                  |
| <input type="checkbox"/> Fees and Deposits (NC-FS-S04)   | <input type="checkbox"/> Debts Incurred But Not Yet Billed (NC-FS-S11) |
| <input checked="" type="checkbox"/> Election Expenses Subject to Spending Limit (NC-FS-S05)        | <input type="checkbox"/> Other Liabilities (NC-FS-S12)                 |
| <input type="checkbox"/> Expenses Not Subject to Spending Limit (NC-FS-S06)                        |  |

**CONFIRMATION OF CONTRIBUTION REPORTING AND ISSUANCE OF OFFICIAL CONTRIBUTION RECEIPTS**

The following tasks are completed as applicable:

- ☐ Using the Online Financial System (OFS), I have recorded and confirmed all contributions accepted by this nomination contestant's campaign.
- ☐ Using OFS, I have issued official contribution receipts to all contributors.
- ☒ I have recorded on lines 1 and 2 of this financial statement, amounts that agree with the receipted contributions recorded and confirmed in OFS.
- ☐ No contributions were accepted by this nomination contestant's campaign; I have recorded nil on lines 1 and 2 of this financial statement.

As a Chief Financial Officer registered under the *Election Finances and Contributions Disclosure Act*:

- I certify that this financial statement and attachments accurately and completely reflect all financial transactions of the nomination contestant's campaign;
- I understand that Elections Alberta will maintain and make public, a list of contributors who contributed over \$250 in aggregate, based on the contribution information in OFS; and
- I understand that failure to record all contributions and issue official contribution receipts by the filing deadline will result in an incomplete/late financial filing subject to an automatic late filing fee and penalties.

▶ **SATINDER SHARMA**

Print Name

Original signed by position holder

Signature

02 / 28 / 2019

mm / dd / yyyy

**ATTESTATION OF NOMINATION CONTESTANT**

As a Nomination Contestant registered under the *Election Finances and Contributions Disclosure Act*:

- I certify that this financial statement and attachments accurately and completely reflect all financial transactions of my nomination campaign;
- I understand that Elections Alberta will maintain and make public, a list of contributors who contributed over \$250 in aggregate, based on the contribution information in OFS; and
- I understand that failure to record all contributions and issue official contribution receipts by the filing deadline will result in an incomplete/late financial filing subject to an automatic late filing fee and penalties.

▶ **ROOP KAUR RAI**

Print Name

Original signed by position holder

Signature

02 / 28 / 2019

mm / dd / yyyy

**NOTES**

1. The campaign period starts on the nomination contestant's effective registration date, and ends 2 months after the date of selection / conclusion of the contest. If the contestant withdrew or was not accepted by the party / constituency association, the contestant's campaign period ends earlier; that is, on the date of withdrawal or the date the contestant was not accepted.
2. This financial statement and attachments must be received at Elections Alberta by 4:30 pm 4 months after the date of selection / conclusion of the contest.

**FORWARD SIGNED ORIGINAL TO ELECTIONS ALBERTA**

Suite 100, 11510 Kingsway NW, Edmonton, AB T5G 2Y5

Phone: 780.427.7191 Toll-free: 310.0000 then 780.427.7191 Email: [finance@elections.ab.ca](mailto:finance@elections.ab.ca)

OFFICE USE ONLY



**ELECTION FINANCES AND CONTRIBUTIONS DISCLOSURE ACT  
ELECTION EXPENSES SUBJECT TO SPENDING LIMIT  
SUMMARY REPORT**

**FORM NC-FS-S05**

Period from 08072018 to 02162019

mm / dd / yyyy mm / dd / yyyy

OFFICE USE ONLY

NAME OF REGISTERED NOMINATION CONTESTANT **ROOP KAUR RAI** PARTY ABBREV. NAME OF ELECTORAL DIVISION **<Select ELECTORAL DIVISION from list>** ED No.

Expense Type	Sub-Total	Schedule Attached?
① Advertising, posters, pamphlets, and promotional	\$ 367.50	NC-FS-S05-SS01 <input checked="" type="checkbox"/>
② Furniture and equipment rental	\$	NC-FS-S05-SS02 <input type="checkbox"/>
③ Honoraria and salaries	\$	NC-FS-S05-SS03 <input type="checkbox"/>
④ Office occupancy (rent, utilities), meeting space, telephone and office supplies	\$ 525	NC-FS-S05-SS04 <input checked="" type="checkbox"/>
⑤ Polling (opinion surveys, market research)	\$	NC-FS-S05-SS05 <input type="checkbox"/>
⑥ Signs / structural support	\$	NC-FS-S05-SS06 <input type="checkbox"/>
⑦ Other expenses	\$ 60.37	NC-FS-S05-SS07 <input checked="" type="checkbox"/>

(must agree with financial statement line 10) **TOTAL** \$ 952.87

**NOTES**

- The above election expenses incurred by the nomination contestant are subject to spending limits prescribed by sections 41.1 and 41.4 of the EFCDA (see below for details).
- This schedule is an official component of the Nomination Contestant Campaign Return. All attestations as to the accuracy of the Nomination Contestant Campaign Return apply equally to this attachment.

**EFCDA PART 5.1 MAXIMUM EXPENSE LIMITS (EXCERPT)**

**Election expenses**

**41.1(1)** In this Part, "election expense", subject to this Part, means any expense incurred, or non-monetary contribution received,

(a) by a registered party, registered constituency association or registered candidate, to the extent that the real property, goods or services that the expense was incurred for, or that were received as a non-monetary contribution, are used to directly promote or oppose a registered party, its leader or a candidate during an election period;

(b) by a nomination contestant, to the extent that the real property, goods or services that the expense was incurred for, or that were received as a non-monetary contribution, are used to directly promote or oppose a nomination contestant during a nomination contest.

(2) For the purposes of this section, the use of goods in a 2nd or subsequent election is a non-monetary contribution.

(3) An election expense referred to in subsection (1) includes an expense incurred for, or a non-monetary contribution in relation to,

(a) the production of advertising or promotional material,

(b) the distribution, broadcast or publication of advertising or promotional material in any media or by any other means during the election period, including by the use of a capital asset,

(c) the payment of remuneration and expenses to or on behalf of a person for the person's services as a chief financial officer or in any other capacity,

(d) securing a meeting space, or

(e) the conduct of election surveys or other surveys or research during an election period.

(4) In this section, "expense incurred" means an expense that is incurred, whether it is paid or unpaid.

**Election expense limits — nomination contestants**

**41.4(1)** No registered nomination contestant and no chief financial officer of a registered nomination contestant shall incur election expenses in respect of the nomination contest that exceed in the aggregate 20% of a registered candidate's spending limit for an election in that electoral division.

(2) The following expenses are not election expenses for the purposes of subsection (1):

(a) travel expenses reasonably related to the nomination contestant, including meals and accommodation;

(b) a registered nomination contestant's child care expenses;

(c) expenses relating to the provision of care for a person with a physical or mental incapacity for whom the registered nomination contestant normally provides such care;

(d) in the case of a registered nomination contestant who has a disability, additional expenses that are related to the disability;

(e) audit and professional fees necessary for compliance with this Act by the registered nomination contestant;

(f) reasonable incidental expenses incurred by or on behalf of volunteers.